



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #

Applicant: RAO et al.
Serial No.: 10/014842 ✓
Filed: 10 December 2001
Confirmation No.: 1838
Due Date: 24 March 2002
Title: CHOLESTEROL LOWERING STRUCTURED LIPIDS CONTAINING OMEGA 6 POLYUNSATURATED FATTY ACIDS AND THE PROCESS THEREOF

Examiner: Unknown
Group Art Unit: Unknown
Docket: 11378.36US01
Notice of Allow. N/A
Date:

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on 14 February 2002.

By: Kate Ryan
Kate Ryan

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ 2 substitute formal drawings
- ☒ Check(s) in the amount of \$740.00 and \$130.00 for filing fee and missing parts fee
- ☒ Other: Submission of Missing Parts, Notification of Missing Parts of Nonprovisional Application
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Douglas P. Mueller
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM/kjr





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/014,842 | 12/10/2001 | Reena Rao | 11378.36US01 |

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903



CONFIRMATION NO. 1838

FORMALITIES LETTER



OC00000007356589

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

03/01/2002 NBERHE 00000007 100.0045

01 FC:101
02 FC:105740.00 JP
130.00 JP

Phuong Bui
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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S/N 10/014842

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|---|-----------------|--------------|
| Applicant: | RAO et al. | Examiner: | Unknown |
| Serial No.: | 10/014842 | Group Art Unit: | Unknown |
| Filed: | 12/10/2001 | Docket No.: | 11378.36US01 |
| Title: | CHOLESTEROL LOWERING STRUCTURED LIPIDS CONTAINING OMEGA 6 POLYUNSATURATED FATTY ACIDS AND THE PROCESS THEREOF | | |

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 14 February 2002.

By:

Kate Ryan

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application and in response to the Notification of Missing Parts, enclosed please find the originally signed Combined Declaration and Power of Attorney and two sheets of substitute formal drawings. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$740.00 for the filing fee that was deferred at filing.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: 14 February 2002

By

Douglas P. Mueller
Reg. No. 30,300

DPM/kjr